

Written examination for Stockbroker Representatives

Please see attached

Attachment:

1. **Announcement**

Non Regulated

Publication Date: 18/11/2019

ANNOUNCEMENT

Written Examination for Stockbroker Representatives

The Cyprus Stock Exchange announces the holding of a written examination for persons interested in being certified as qualified to be registered in the special lists of stockbroker representatives or in the special lists of assistant stockbroker representatives, pursuant to the Securities and Cyprus Stock Exchange Law and paragraph 6 of the Decision of the CSE Council (RAA 433/210 as amended). The examination has been scheduled for **Monday, 13 January 2020, between 15:00-17:00 at the offices of the Cyprus Stock Exchange (CSE).**

Interested candidates are invited to express their interest in writing directly to the Cyprus Stock Exchange and specify which certification examination they wish to take (Stockbroker Representative or Assistant Stockbroker Representative) **by Tuesday 10 December 2019** at the latest (CSE Fax No. 0035722570308 or e-mail: info@cse.com.cy).

Interested candidates are required to pay to the CSE the prescribed examination fee of €85, fixed further to a relevant decision of the CSE Council, and provide a photocopy of their ID Card as well as their full contact details (postal and email address, telephone and fax numbers if any). The prescribed fee may be deposited in the following CSE accounts:

List of Bank Accounts for deposit of fees			
NAME: CYPRUS STOCK EXCHANGE			
Bank	Swift Code	Account No.	IBAN No.
Bank of Cyprus Public Company Ltd	BCYPCY2N	0120-05-020334-00	CY83-0020-0120-0000-0005-0203-3400
Hellenic Bank Public Company Ltd	HEBACY2N	106-11-033879-00	CY07 0050 0106 0001 0611 0338 7900

In view of their preparation, candidates are informed that the examination will cover the following subjects:

(a) The following Parts of the Securities and Cyprus Stock Exchange Laws:

- Part II (The Cyprus Stock Exchange, Establishment, Objectives and Powers and Duties),
- Part VI (Members of the Stock Exchange and Professions Related to Stock Exchange Transactions)
- Part VII (Disciplinary Provisions)

- (b) The Decision of the CSE Council on the Members, pursuant to Articles 31, 34, 35, 36 and 39 of the Securities and Cyprus Stock Exchange Laws (Regulatory Administrative Act 433/2010 as amended).
- (c) The Decision of the CSE Council on the Code of Conduct of Members pursuant to Article 39 of the Securities and Cyprus Stock Exchange Laws (Regulatory Administrative Act 166/2005 as amended).
- (d) The Trading Rules.
- (e) The Regulatory Decision on the Clearing of Transferable Securities Transactions in Book Entry Form.
- (f) General stock market matters and current stock market / financial developments.

The relevant texts of the Laws / Decisions referred to above are available on the CSE website, www.cse.com.cy, under “Legal Framework”, “Existing Legislation”.

Finally, the Cyprus Stock Exchange wishes to inform interested persons that the provision of personal data shall be effected in an appropriate and legal manner, in accordance with European Regulation 2016/679 (General Data Protection Regulation).

18 November 2019